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## Functional anatomy of thalamus and basal ganglia

*The pathologies that damage the human brain are rarely restricted to single anatomical structures. Stroke, trauma and particularly degenerative diseases do not re-*

*spect functional anatomical boundaries. But there is much to be learned from observation of what occurs in humans whose brains are damaged by such insults. [12].*

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**Abstract** *Thalamus:* The human thalamus is a nuclear complex located in the diencephalon and comprising of four parts (the hypothalamus, the epithalamus, the ventral thalamus, and the dorsal thalamus). The thalamus is a relay centre subserving both sensory and motor mechanisms. Thalamic nuclei (50–60 nuclei) project to one or a few well-defined cortical areas. Multiple cortical areas receive afferents from a single thalamic nucleus and send back information to different thalamic nuclei. The cor-

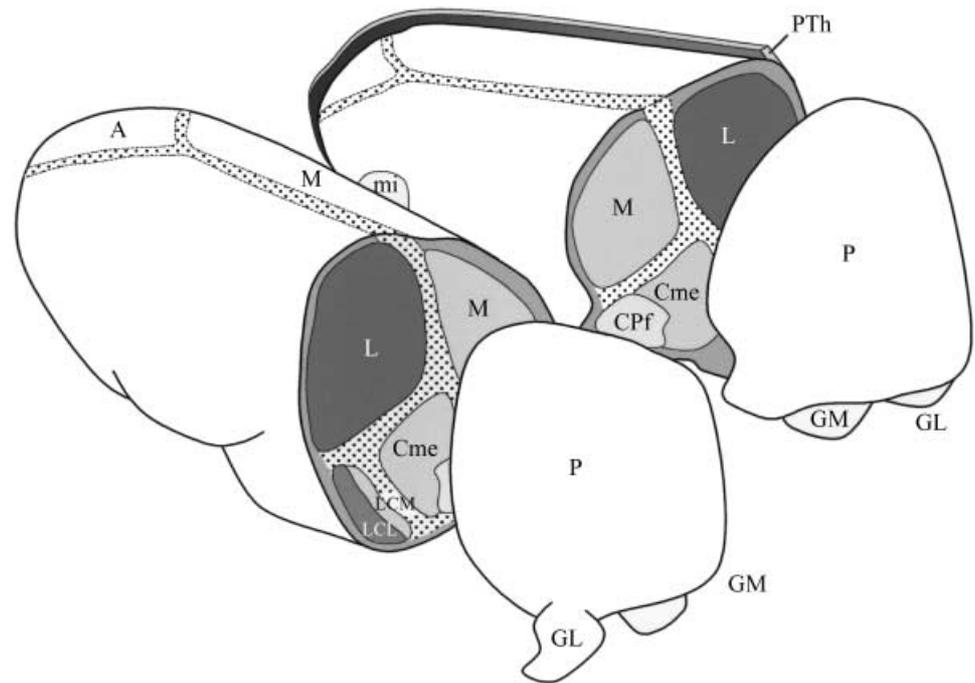
ticofugal projection provides positive feedback to the “correct” input, while at the same time suppressing irrelevant information. Topographical organisation of the thalamic afferents and efferents is contralateral, and the lateralisation of the thalamic functions affects both sensory and motoric aspects. Symptoms of lesions located in the thalamus are closely related to the function of the areas involved. An infarction or haemorrhage thalamic lesion can develop somatosensory disturbances and/or central pain in the opposite hemibody, analgesic or purely algesic thalamic syndrome characterised by contralateral anaesthesia (or hypaesthesia), contralateral weakness, ataxia and, often, persistent spontaneous pain. *Basal ganglia:* Basal ganglia form a major centre in the complex extrapyramidal motor system, as opposed to the pyramidal motor system (corticobulbar and corticospinal pathways). Basal ganglia are involved in many neuronal pathways having emotional, motivational, associative and cognitive functions as well. The striatum (caudate nucleus, putamen and nucleus accumbens) receive inputs from all cortical areas and, throughout the thalamus, project principally to frontal lobe areas (prefrontal, premotor and supplementary motor areas) which are concerned with motor planning. These circuits: (i) have an important regulatory influence on cortex, providing

information for both automatic and voluntary motor responses to the pyramidal system; (ii) play a role in predicting future events, reinforcing wanted behaviour and suppressing unwanted behaviour, and (iii) are involved in shifting attentional sets and in both high-order processes of movement initiation and spatial working memory. Basal ganglia-thalamo-cortical circuits maintain somatotopic organisation of movement-related neurons throughout the circuit. These circuits reveal functional subdivisions of the oculomotor, prefrontal and cingulate circuits, which play an important role in attention, learning and potentiating behaviour-guiding rules. Involvement of the basal ganglia is related to involuntary and stereotyped movements or paucity of movements without involvement of voluntary motor functions, as in Parkinson's disease, Wilson's disease, progressive supranuclear palsy or Huntington's disease. The symptoms differ with the location of the lesion. The commonest disturbances in basal ganglia lesions are abulia (apathy with loss of initiative and of spontaneous thought and emotional responses) and dystonia, which become manifest as behavioural and motor disturbances, respectively.

**Keywords** Thalamus · Basal ganglia · Anatomy · Behavioural disorders · Motor disorders

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**Fig. 1** Diagrammatic view of the internal structure of the dorsal thalamus. The figure represents a posterior view of right and left sectioned thalamus. Note the *regio centralis* of the allothalamus and their organization. The internal medullary lamina separates the regio superior, regio lateralis and regio medialis. Nucleus perithalamicus covers the thalamus (A regio superior, Cme nucleus centralis medius, CPf nucleus centralis parafascicularis, GL nucleus geniculatus lateralis, GM nucleus geniculatus medialis, dotted areas internal medullary lamina, LCL nucleus ventralis caudalis lateralis, LCM nucleus ventralis caudalis medialis, L regio lateralis, M regio medialis, mi massa intermedia, P regio posterior, PTh nucleus perithalamicus)



## The thalamus

The thalamus is a nuclear complex situated in the diencephalon. The diencephalon forms the central core of the brain and is surrounded by the hemisphere, so that only the basal surface is exposed to external view in a diamond-shaped area containing hypothalamic structures. The diencephalon is located at the dorsal end of the brain stem surrounded by the internal capsule laterally and the lateral ventricles and corpus callosum superiorly. It is divided into symmetrical halves separated by the narrow third ventricle but connected by the massa intermedia (Fig. 1). The rostrocaudal dimension of the human thalamus is about 30 mm, its height about 20 mm and its width about 20 mm. It has been estimated that there are about 10 million thalamic neurons in each hemisphere. The human thalamus is divided into 50–60 nuclei (Fig. 1, Table 1). The names of most of these nuclei are derived from their geographical locations within the thalamus. The thalamus is made up of four parts: the hypothalamus, the epithalamus, the ventral thalamus, and the dorsal thalamus. The traditional partition of the diencephalon into large ontogenic-embryologic subdivisions corresponds to Herricks's columnar model. The new neuromeric model places the hypothalamus in a different neuromere [159]. The epithalamus belongs to the same prosomere as the dorsal thalamus, but we can consider it separately; it comprises the paraventricular complex, the habenular complex and the pretectal group. Moreover, the perithalamus (ventral thalamus) originates from a different prosomere and corresponds to the reticular nucleus, the zona

incerta and the pregeniculate nucleus. The dorsal thalamus is in fact the main part of the thalamus.

The dorsal thalamus has two regions:<sup>1</sup> the allothalamic region and the isothalamic region.

The allothalamic region can be divided into:

1. The paraventricular region or midline nuclei (including the massa intermedia) (receiving afferents from the amygdala)
2. The centre-median-parafascicular complex (isolated by a continuous capsule), which appears to be a major element in the basal ganglia system [51, 153]
3. The intralaminar region, which includes the nuclei within the lamina medialis

The isothalamic region constitutes the bulk of the thalamus. It has “bushy” neurons and “microneurons”, and most of its connections are directed to the cortical areas receiving an important mass of corticothalamic axons (in primates, much more important than the thalamocortical

<sup>1</sup> Traditionally, (i) a thalamic region is defined as a gross topographical division corresponding to the former nuclei; (ii) a territory such as the cerebral space filled by afferent endings from one source; (iii) the thalamic space where neurons project to a given cortical target constitutes a “source space”; and (iv) a thalamic nucleus is defined as the intersection of a thalamocortical space with one territory. The principal terms in the nomenclature are the ‘anteroposterior’, ‘mediolateral’ and ‘dorsoventral’ subdivisions, but there are three classes of thalamic nuclei in relation to its function: specific, nonspecific and association nuclei (even if the classic concept of nonspecific thalamus has important intranuclear variations [154])

**Table 1** Diencephalic elements in hierarchical order (from [154] with permission of the authors). *Situs* are topographic locations. They may or may not correspond to cytoarchitectonic subdivisions

I. Diencephalic nonthalamic elements

I.A. Perithalamus PTh (ventral thalamus, thalamus ventralis)

Nucleus perithalamicus	Situs perithalamicus Situs incertus Situs pregeniculatus	PTh PTh PTh ZI PTh Pr	(= N. reticularis R) (= Zona incerta Zi) (= N. pregeniculatus or prepeduncularis)
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I.B. Epithalamus Hb = habenula

Nucleus habenulae lateralis	HbL (Hlmc)
Nucleus habenulae medialis	HbM (Hlpc)

II. Thalamus T (dorsal thalamus)

II.A. Allothalamus (neuronal types different from those of the isothalamus)

i. Regio paraventricularis		E or paramediana	= Midline nuclei + adhesio interthalamica (massa intermedia)
ii. Regio centralis		C	= Centre median–parafascicular complex (basal ganglia) CPF Cme pallidal thalamus CpL
Nucleus centralis parafascicularis			
Nucleus centralis medius			
Nucleus centralis paralateralis			
iii. Regio intralaminaris		II	= Intralaminar nuclei (in true sense of term) IIO = paracentralis PCn IIC = centralis lateralis CL IIP = I.La Post
Nucleus intralaminaris oralis			
Nucleus intralaminaris caudalis			
Nucleus intralaminaris posterior			
iv. Nucleus limitans		Li	

II.B. Isothalamus (made up of bushy thalamocortical projection neurons + microneurons)

II.B.1. Regio superior S

Nucleus anterior	A		Nucleus anterior principalis AV + AM
Nucleus anterodorsalis	AD		Nucleus anterior accessorius
Nucleus superficialis	S		Nucleus lateralis dorsalis

II.B.2. Superregio medioposterior

i. Regio medialis	M		Main part of the dorsomedial nucleus
Nucleus medialis (man)		M	MM (amygdalar afferences)
Nucleus medialis medialis			ML
Nucleus medialis lateralis			
ii. Regio posterior		P P4	Main part of the pulvinar
Nucleus posterior			PuM
Situs medialis			PuL
Situs lateralis			PuO
Situs oralis			PuOD (nucleus lateralis posterior)
Situs oralis dorsalis			

II.B.3. Superregio basalis

i. Regio basalis		B	Spinothalamic thalamus
Nucleus suprageniculatus			
ii. Regio intergeniculata		Ig	Tectal thalamus
Nucleus intergeniculatus			

II.B.4. Superregio inferolateralis (sensory and motor thalamus)

i. Regio geniculata		G	GM auditory thalamus
Nucleus geniculatus medialis			GL visual thalamus
Nucleus geniculatus lateralis			

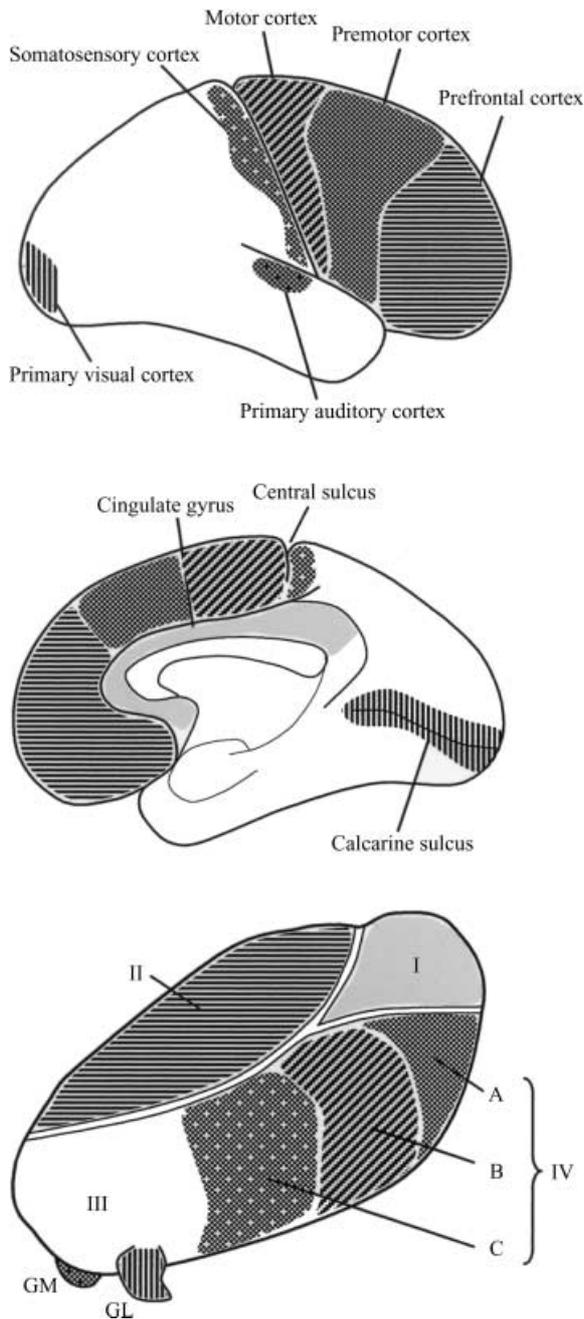
**Table 1** (continued)

ii. Regio lateralis	L (lateral mass plus paralaminar parts of the dorsomedial nucleus with ventral afferences)	
a. Subregio arcuata Nucleus lateralis arcuatus Sensorimotor thalamus		LArc gustatory thalamus LAc
b1. Subregio caudalis Nucleus ventralis caudalis lateralis Nucleus ventralis caudalis medialis		LC lemniscal thalamus LCL  LCM
b2. Motor thalamus I. Subregio intermedia Nucleus lateralis intermedius lateralis Nucleus lateralis intermedius mediodorsalis Situs ventralis medialis Situs dorsalis Situs postremus Situs paralaminaris intermedius		LI cerebellar thalamus LIL  LIM (VIM + DI)  LIMm LIMd LIMps pII
II. Subregio oralis Nucleus lateralis oralis Situs principalis Situs dorsalis Situs ventralis		LO pallidal thalamus LO (VOL + DO) LO1 (VOL = Voe = Vlo) LOd (DO = Doe = dorsolateral VA) Lov (VOV = VLm, lat)
III. Subregio dorsalis Nucleus lateralis rostralis Situs polaris Situs perifascicularis Situs ventralis medialis Situs paralaminaris rostralis Nucleus lateralis rostralis pars medialis		LR nigral thalamus LR (VOM + LPo) Lrpo LRmc LRvm PIO LRM nigral + amygdalar

projection [154]). The internal and superior laminae divide the isothalamus into several subregions. The internal medullary lamina divides the principal thalamic nuclei into two major parts: a medial group on one side and a ventrolateral group on the other side of the internal medullary lamina (Fig. 1). The anterior part of the internal medullary lamina splits into two lamellae, which surround the anterior group o-nuclei. The cell groups within the internal medullary lamina in the caudal part are the named intralaminar nuclei (allothalamic region) [87].

Since the beginning of the twentieth century, the human thalamus has been divided into subnuclei and in some functional aspects on the basis of anatomical criteria and clinicopathological observations [38, 39, 76, 81, 86]. However, the functional parcellation of the thalamus has suffered from historical and technical drawbacks because of “an archaic, rigid conception of the thalamic nucleus; overexploitation of cytoarchitecture technique, comparative anatomy and cortical connections; underexploitation of subcortical afferent territories, and opposition between ventral (relay) and dorsal

(associative) nuclei” [154]. The thalamus is a relay centre in subserving both sensory and motor mechanisms and then, awareness [179], attention [20] and other neurocognitive processes such as memory and language [47, 85]. Thalamic relay neurons receive excitatory glutamatergic input from peripheral sensory pathways [15]. After neuroanatomical tracing studies in primates using stereotactic atlases [140, 198] we know that most of the thalamic nuclei project to one or few well-defined cortical areas, with the exceptions of the reticular nucleus and the intralaminar nuclei, which project diffusely to many areas of the cortex and have been defined as “non-specific” thalamic nuclei (Fig. 2) [81, 86, 87, 154]. The centromedian and parafascicular nuclei (nucleus centralis medius and nucleus centralis parafascicularis, respectively) also project back to the striatum [153]. Moreover, thalamocortical interconnections may not be simple one-to-one connections between single thalamic nuclei and single cortical areas [81, 154]: multiple cortical areas receive afferents from a single thalamic nuclei and send information back to different thalamic nuclei [63].



**Fig. 2** Distribution of the reciprocal right thalamocortical pathway. *Above:* lateral (*left*) and medial (*right*) surfaces of the hemisphere show the main thalamocortical projections. Correspondences with thalamic nuclei are shown with the same pattern (*below*). *I* Regio superior (nucleus anterior), *II* regio medialis (nucleus medialis), *III* regio posterior (nucleus posterior), *IV* regio lateralis: *A* subregio oralis + subregio dorsalis (pallidal + nigral thalamus), *B* subregio intermedia (cerebellar thalamus), *C* subregio arcuata + subregio caudalis (gustatory + lemniscal thalamus), *GL* nucleus geniculatus lateralis, *GM* nucleus geniculatus medialis]

In addition to this, the corticofugal projection provides positive feedback to the “correct” input, while at the same time suppressing irrelevant information: a given thalamic neuron receives excitation from a small cortical area that shares the same stimulus selectivity (“egocentric selection”) [203]. The corticothalamocortical loops amplify the cortical oscillations (fast synchronous rhythms) [115], but thalamocortical neurons control the slow oscillations [116]. Within the thalamus exist powerful mechanisms that lead the promotion of synchronous and phasic 3 Hz neuronal activity that appears to arise from a perturbation of a physiological higher frequency spindle oscillation [80]. In normal circumstances inhibitory synaptic responses, including those from the reticular nucleus, are required for network synchronisation. Cortical oscillations (fast synchronous rhythms) [115] are differentially affected by lesions of the corticothalamocortical loops and/or by lesions of the different areas involved. Both fast [180] and slow oscillations [116] are synchronised not only in intracortical but also in intrathalamic and thalamocortical networks. Moreover, the role of thalamus in cortico-cortical communication addresses the implications on higher cortical functions when thalamic or corticothalamocortical pathways are involved [63].

The topographical organisation of the afferents at the level of the thalamic nuclei is contralateral. The lateralisation of the thalamic functions affects motoric aspects of different patterns such as speech production and non-verbal memory [85]; however, some nuclei have both contralateral and ipsilateral connections [144]. Instead of this, deafferentation results in reorganisation of the somatosensory map but with different patterns and degrees of somatotopic organisation, all of which may be associated with pain syndromes [88, 92]. The plasticity and reorganisation are mediated by corticofugal loops [49], and it has been suggested that thalamic maps are constantly adjusted by sensory experience [200]. However, the intrathalamic connections [32] are still essential to understand, for instance, how thalamic syndrome produces alterations to visual orientation when somatosensory fields are involved [7].

Each anatomically defined thalamic nucleus has its own characteristic afferent and efferent connections [154]: this defines its function. Two distinct types of thalamic nucleus are proposed on the basis of their afferent fibres from ascending pathways and/or from the cerebral cortex:

1. First-order nuclei, with a modulatory function, receive primary afferent fibres from ascending pathways and receive corticothalamic afferents from cortical layer 6, which sends branches to the reticular nucleus
2. Higher order nuclei receive primary afferents from pyramidal neurons of the cortical layer 5 lacking a branch to the reticular nucleus; its function is concerned with transmitting information about the output of one corti-

cal area to another cortical area (playing a part in cortico-cortical connections on higher cortical functions) [63]; but again, intrathalamic interactions among dorsal thalamic nuclei can also be important [32]

Few neurological diseases have been correlated with changes in a particular thalamic nucleus. The most frequent pathologies are vascular lesions and tumours. Haemorrhagic stroke frequently involves multiple structures in basal ganglia-thalamocortical circuits and, surprisingly, those permit enhanced recovery compared with stroke restricted to the putamen or thalamus [124]. Lesions confined to the thalamus have been associated with asterixis [103], and lesions of the thalamus plus basal ganglia seem to be related to dystonia [104]. Focal lesions of the thalamus and/or of the subthalamic region lead to the development of dyskinesia and bilateral blepharospasm (associated with right posterior thalamic lesions). A classic thalamic syndrome is characterised by contralateral anaesthesia (or hypaesthesia), contralateral weakness, ataxia and, often, persistent spontaneous pain that can be treated by stereotactic thalamotomy [139]. The vascularisation of the thalamus is of a great importance when tumor pathology or infarction is affecting these structures [150]; there is even a correspondence between specific arteries and thalamic areas, which determines the semeiology of thalamic syndromes [18, 47, 133, 188]. A thalamic lesion caused by infarction or haemorrhage can quickly lead to the development of somatosensory disturbances and/or central pain in the opposite hemibody, analgesic thalamic syndrome or a pure algesic syndrome [44]. CT scanning has shown that a paramedian or an anterolateral thalamic lesion (infarction) causes central pain, but a posterolateral ischaemic thalamic lesion will not be accompanied by central pain [194]. The posterior cerebral artery stroke syndrome involving the lateral thalamus (*superregio inferolateralis*) includes visual field deficits and, frequently, sensory, slight motor, neuropsychological and unilateral headaches or migraine [18]. When the haemorrhages are restricted to the ventral posterior lateral territories of the thalamus (*regio lateralis*) this leads to a cheiro-oral syndrome [175] or choreiform and dystonic movements associated with rhythmic, alternating movements of low-frequency (*myorhythmia*) [108]. When tumours involve the inferolateral nucleus of the thalamus one symptom can be mutism, which is defined as a state in which the patient is conscious but unwilling or unable to speak, even if this is usually a transient condition [34]. On the other hand, if a tumor grows slowly and infiltrates tissue rather than destroying it, symptoms will evolve slowly and may be overlooked for a long time. The two basic mechanisms by which tumours produce symptoms are:

1. Focal disturbances resulting from compression, irritation or destruction of adjacent tissue

2. Elevation of the intracranial pressure and intracranial herniations produced by the oedema and the tumour mass

Tumours located deep in the brain, (involving the central region of thalamus and/or basal ganglia and spreading bilaterally) can produce internal hydrocephalus, cortico-spinal signs, extrapyramidal symptoms, dementia, and even neuroendocrine dysfunction. The symptoms of lesions located in different parts of the thalamus are closely related to the function of the areas involved (nuclei are named as in Table 1).

#### Nucleus perithalamicus

The reticular nucleus, which surrounds much of the thalamus like an eggshell, especially on its lateral side, was described by Kölliker [94] as the “Gitterkern” or lattice nucleus, as the fibrous latticework is a characteristic feature of this area. It contains inhibitory GABAergic neurons, which exert weak or strong inhibitory connections with significantly different responses on thalamic neurons [31]. A thalamic nucleus that does not project to the cortex, however, receives collaterals from thalamocortical and corticothalamic axons. It is in an excellent position to monitor the activity in the corticothalamic and thalamocortical channels and to transmit information back to the thalamus and the midbrain. Then the reticular nucleus controls the activity of thalamocortical channels, which is of fundamental importance for the two-way thalamocortical and corticothalamic connections [1, 14]. The reticular nucleus can be divided into sectors that are connected to more than one thalamic nucleus and to more than one cortical area: and each sector has topographically mapped connections with the thalamus and the cortex, and each has a different function (sight, hearing, touch, movement or limbic functions) [64].

#### Allothalamus

The intralaminar nuclei have been included in the “non-specific ascending reticular activating system” projecting to extensive areas of the cerebral cortex the inputs received from the brain stem reticular formation [151]. These nuclei have been functionally associated with attention, arousal and consciousness. The nuclei are divided into a rostral group and a central-caudal group. A unique combination of calcium-binding protein staining clearly delineates the intralaminar nuclei. The *regio intralaminaris* (nucleus *intralaminaris oralis* or *paracentralis*, nucleus *intralaminaris caudalis* or *centralis lateralis*) showed intense staining for both calretinin and calbindin-D28 k [129]. The central-caudal group (*regio centralis*: nucleus *centralis parafascicularis* and nucleus *centra-*

lis medius) showed a complementary pattern of staining: the nucleus parafascicularis shows immunoreactivity for both calbindin-D28 k and calretinin, while the nucleus centralis medius shows immunoreactivity only for parvalbumin. As the nuclei from the regio centralis have important connections with the basal ganglia [153], it has been suggested that calcium-binding protein may play an important role in the interactions between cerebral cortex and the basal ganglia [129]. By way of nonselective inputs, mainly from the cholinergic brain stem nuclei as pedunculopontine nucleus and laterodorsal nucleus, the central-caudal group could modify the activity of the basal ganglia-thalamocortical loops [62]. In fact, there is a loss of neurons in the caudal intralaminar nuclei in Parkinson's disease and in progressive supranuclear palsy [69]. This central group is prominent in primates: the motor functional importance in the basal ganglia circuitry is related to the nucleus centralis medius, whereas the nucleus centralis parafascicularis is related mainly to cognitive, oculomotor and limbic functions [168]. The functional role of these nuclei remains uncertain. They have been proposed as an appropriate target for the treatment of intractable deep pain of central origin or following stroke or due to cancer, as they receive nondiscriminative or affective sensory information [11]. However, even if the nuclei are projecting diffusely to much wider cortical areas (to one or few well-defined cortical fields) as a part of the "diffuse" or "nonspecific" ascending activating system its nonspecificity has been questioned [62, 117].

## Isothalamus

### *Regio superior*

The nucleus anterior receives projections from the mammillary body (mammillothalamic tract) and projects to the cingulate cortex belonging to the Papez circuit, the neural circuit for emotion [75, 143]. Lesions involving the nucleus anterior resulted in neuropsychological dysfunction (aphasia and memory impairments) [133, 136] and in anomia for proper names [125]. Then, cognition, memory and emotion affect each other reciprocally, and atrophy or dysfunction of the midline groups has been associated with anterograde amnesia [148, 192]. Tumours involving part of the Papez circuit, such as the anterior nucleus of the thalamus, the hypothalamus and even the anterior part of basal ganglia, disclosed memory disturbances (but not emotional impairment), and after surgery of the tumour an improvement in memory function has been reported even though radiation therapy can decrease the intellectual ability [136].

### *Superregio medioposterior*

*Regio medialis.* The nucleus medialis receives a large projection from the rhinal and dorsolateral prefrontal cortices [149], amygdala, ventral globus pallidus and nigral projections [27, 164, 169]. It projects to the orbital, medial and dorsal prefrontal cortex [141, 163] and shows strong degeneration after frontal leucotomy [142]. The nucleus shows an intensive pattern of cannabinoid receptors, as many of the regions are associated with higher functions [60]. It has been implicated in cognition [9], recognition memory [136] and habituation, in olfaction [157], in the wake-sleep cycle, in respiration [3] and in other vegetative and endocrine circadian activities [10]. In the case of infarcts involving the anterior or medial region of the thalamus (both regio superior-regio medialis), a loss of memory processes and cognition disorders have been described in the acute phase of insult [89]. Moreover, it may be important to look at the consequences of thalamic infarctions, the damage to the nucleus medialis and nuclei of the allothalamus, or a combined lesion of these structures for deficits of executive functioning [188]. After a bithalamic infarction involving the nucleus medialis a syndrome of lost physical self-activation is common; this includes apathy, indifference and poor motivation [48].

*Regio posterior.* The pulvinar-lateral posterior complex, which has reciprocal connections with the associated parieto-occipito-temporal cortical areas, is involved in visual and language functions. The pulvinar has a role in selective visual attention. It has four areas (medial, central, lateral and lateral shell) demarcated by acetylcholinesterase and cytochrome oxidase [28]. Even if its lesions are apparently silent they can affect superior functions involving visual and language modalities and are related to hallucination experiences in patients.

### *Superregio basalis*

*Regio basalis.* The nucleus suprageniculatus is located posteromedial to the nucleus ventralis caudalis lateralis (lemniscal thalamus), ventral to the regio posterior (pulvinar) and the regio ventralis (allothalamus), lateral to the nucleus centralis parafascicularis and dorsal to the nucleus geniculatus medialis. The nucleus is the relay for pain and temperature sensation [33], including visceral information [24, 54]. Pain is a message that is protective in nature. There are two pain systems, one signalling the discriminative aspects of pain (location and intensity) and the other, the affective aspects of pain. Our response to a noxious stimulus reflects the actions of both systems [196]. The spinothalamic tract arises from cells in lamina I and lamina V of the dorsal horn and projects to the nucleus suprageniculatus of the thalamus [161] establishing

simple axodendritic synapses [162]. The calbindin-immunoreactive fibres belong to the lamina I spinothalamic tract fibres and to the vagal-solitary-parabrachial afferents, representing different aspects of enteroceptive information and regarding the physiological status of the tissues and organs of the body [16]. In humans, vascular infarcts in this region cause analgesia and thermoanaesthesia and can lead to the paradoxical development of central pain. It has even been suggested that activation of thermal pathways may contribute to modulation of nociceptive information [46]. The thalamic pain syndrome has been studied and located by anatomical (magnetic resonance imaging) and metabolic correlation in the same area [44]. The nucleus evokes painful and nonpainful paraesthetic cutaneous sensations when stimulated. The pain is described as “like having a baby”, and the stimulation of a ventrocaudal region to the ventrocaudal nucleus evokes visceral pain and even triggers pain memories [36, 37]. Moreover, the dorsal aspect of this nucleus has a significant tonic, graded response to cool stimuli and to innocuous mechanical stimuli [106].

#### *Superregio inferolateralis*

*Regio geniculata.* The *GM* (*nucleus geniculatus medialis*) is situated lateral to the midbrain tegmentum in the caudal region of the diencephalon rostral to the pulvinar. It has three parts:

1. A medial part with magnocellular neurons which receive somatosensory, vestibular and auditory afferents.
2. A dorsal part, a small nucleus, receiving visual and auditory inputs.
3. A ventral part with parvocellular neurons which receive only auditory signals.

The brachium from the inferior colliculus enters the nucleus in its posteromedial aspect. The acoustic radiation begins in the anterolateral border of the nucleus and curve in an anterior direction through the white matter within the transverse temporal gyrus to reach the primary auditory cortex. Clinically, it has been reported that a small haemorrhagic infarction located in the nucleus has caused auditory illusions, such as hyperacousia and palinacousia [57], dichotic listening and complete extinction of the contralateral ear input [53]. Lesions, such as bilateral putaminal haemorrhage, involving the acoustic radiation cause auditory agnosia for all sounds or for environmental sounds only [185].

The *GL* (*nucleus geniculatus lateralis*) resembles a hat in shape and is positioned inferior to the pulvinar and lateral to the nucleus geniculatus medialis. In sagittal MR images the nucleus lies anterior and superior to the hippocampal formation and in coronal MR images the

nucleus is situated between the pulvinar and the cerebral crus (the corticospinal fibres in the anterior part of the midbrain). Microscopically, it is made up of six layers separated by thin white layers. The retinotopy is easily studied by functional magnetic resonance imaging [26]. The optic radiation begins in the posterior half of the lateral side of the nucleus and curves over the temporal horn of the lateral ventricle to reach the primary visual cortex [25]. Both the nucleus and the optic radiation can be analysed by MRI for the interpretation of the brain lesions [21]. Lesions involving the nucleus or the optic radiation may result in homonymous quadrantanopia and homonymous hemianopia. The syndrome of posterior choroidal artery territory infarction includes homonymous quadrantanopsia, neuropsychological dysfunction (aphasia and memory disturbances) with or without hemisensory loss, and the damage involves the nucleus geniculatus lateralis, the posterior thalamus, the nucleus anterior and other regions, such as the hippocampus or the parahippocampal gyrus [133].

*Regio lateralis. Subregio arcuata.* The *gustatory thalamus*, just rostral to the lemniscal thalamus, is the relay for gustatory sensation receiving the inputs from the rostral part of the lemniscus medialis (trigeminal pathway). The posterior border of the ventral posterolateral nucleus (*nucleus ventralis caudalis lateralis*) leading to the pulvinar is indicated by a triangular area (Wernicke’s triangular area), and patients with lesions of this region demarcated by the posterior limb of the internal capsule, have an absence of sensitivity.

The nucleus of the subregio caudalis lemniscal thalamus is found lateral to the internal medullary lamina, caudal to the ventral intermediate nucleus (subregio intermedia) and rostral to the pulvinar. Laterally it is surrounded by the nucleus reticularis (*nucleus perithalamicus*) and the external medullary lamina, and dorsally by the nucleus posterior. The lemniscus medialis enters this thalamic nucleus on its inferomedial aspect at its border with the pulvinar. The nucleus has a role as a somatosensory information modulator [127]. A three-link neuronal chain forms the lemniscus medialis system, connecting the mechanosensory and proprioceptive receptors neurons of the extremities, trunk, neck and back of the head with the primary somatosensory cortex throughout the thalamus using glutamate as a transmitter [40]. However, a visceral nociceptive input to this nucleus has also been described [4]. It has been reported that single ischaemic lesions in the nucleus lead to development of the sensory thalamic syndrome [175] whose basic symptom is chronic pain [199]. Moreover, as sensory inputs have a significant role in dystonia, a reorganisation in the cutaneous receptive fields at the level of the lemniscal thalamus has been described in dystonia [105].

The *motor thalamus* can be identified as the nuclei that transfer information from the substantia nigra (sub-

regio dorsalis), the globus pallidus (subregio oralis) and the cerebellum (subregio intermedia) to the prefrontal, supplementary, premotor, motor and somatosensory areas of the cerebral cortex [78, 118, 154]. Notwithstanding these segregated pathways, there are overlapping projections to the pre-supplementary motor area, where there are interdigitating foci of pallidal and cerebellar territories [170]. All the thalamic motor neurons become active before the onset of movement [193]. In the nucleus a “voluntary unit” has been described, a cluster of neurons that are linked to rhythmic activity [160]. These neurons are activated only by voluntary movements of the contralateral limb and not by passive movements [160]. The motor thalamus receives projections from the nucleus perithalamicus in a specific diffuse manner, which can also contribute to the transfer of motor information [82]. One report described how a lesion in the basal territory of the thalamus (ventral anterior and ventral lateral nuclei: subregio dorsalis and subregio oralis) either severely disrupted or completely prevented the re-learning of a motor task [23]. Focal lesions involving the posterolateral quadrant of the thalamus are associated with abnormal movements [175]. The cerebellar thalamus connections with motor cortex are an essential component in the pathophysiology of tremor [120]. The nucleus lateralis oralis (LO/VOL) has received much attention in stereotactic treatment of Parkinson’s disease and other involuntary motor disorders [135, 184], and thalamotomy of the motor thalamus is effective for dystonia treatment even if the concrete target is not well defined [113]. Thalamotomy of the nucleus lateralis oralis ameliorates rigidity but not tremor [137], but the thalamotomy of the subregio intermedia (LIM/Vim), the cerebellar thalamus, results in a permanent abolition of the tremor without affecting the general somatic sensation [68, 138]. Multiple sclerosis patients with disabling tremor have also benefited from thalamotomy [111]. Moreover, thalamotomy is also recommended for the treatment of dystonia [113], as thalamic activity of this nucleus contributes to dystonic movements [107].

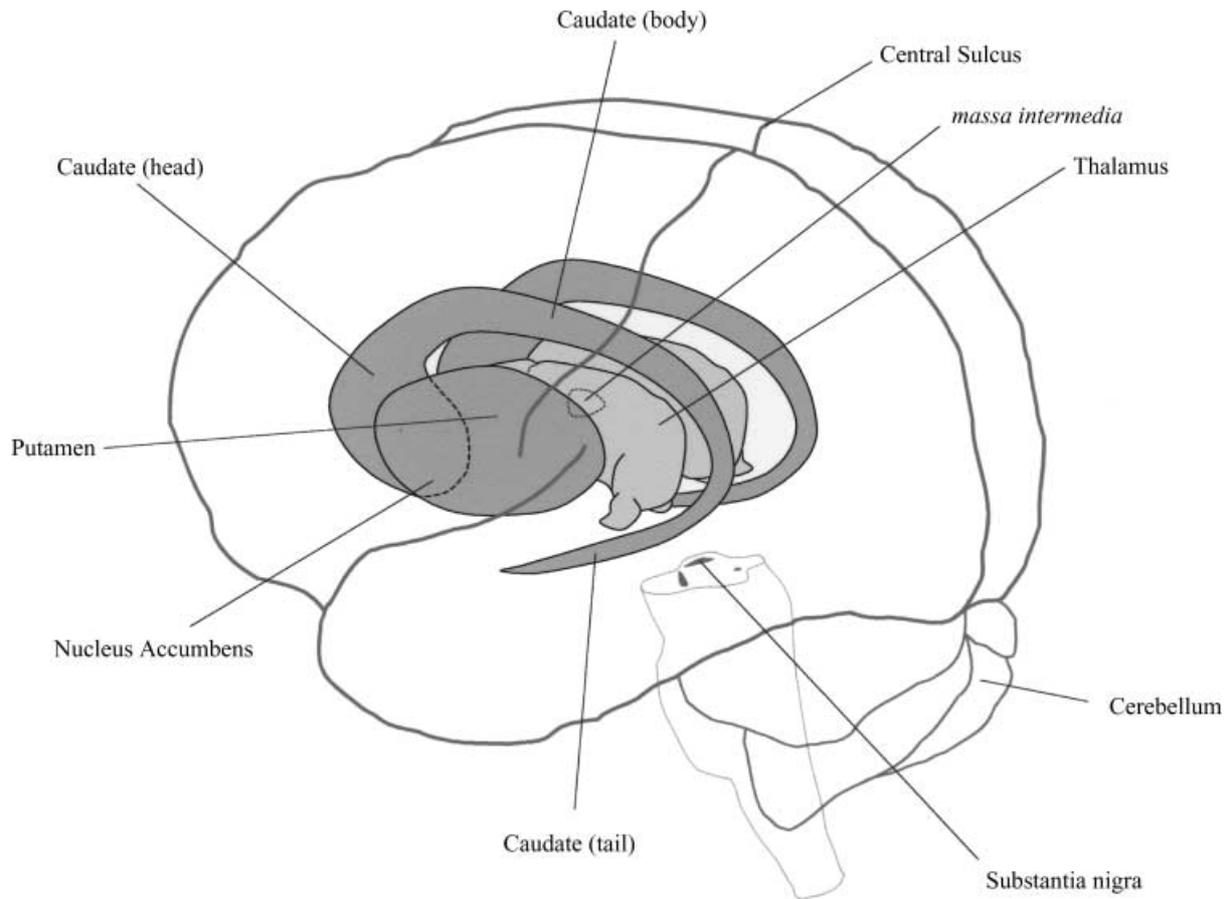
## Conclusion

The thalamus has been of great interest as a target organ in stereotactic surgery for pain relief and in treatment of movement disorders [83, 181, 202]. In fact, even as early as the second part of the nineteenth century, the living human thalamus was studied directly by a stereotactic approach and some atlases constructed using the intercommissural line (connecting the anterior and posterior commissures in relation to the third ventricle) [67]. Recently, some changes have been brought about by standardisation procedures correcting the antero-posterior co-ordinates in relation to different intercommissural distances, to interindividual nuclear variations [126] or

based on intraoperative neurophysiological data and intraoperative X-ray films [201], or in detailed studies preserving post-mortem anatomical structures [98]. However, it is still far from easy to achieve the desired localisation in functional surgery of the thalamus, because many of the nuclei “remain unstudied enigmas” [186].

## The basal ganglia

The term basal ganglia has not been precisely delimited and there is no generally accepted definition. Classic anatomists described the “deep large grey masses” collectively as the basal ganglia of the telencephalon, which are embedded in the white matter of each cerebral hemisphere. Initially ‘basal ganglia’ was a descriptive term for use in onto-phylogenetic or topographic classifications (even the thalamus was regarded as a part of the basal ganglia until the work of Vicq d’Azyr in 1786). The basal ganglia include the caudate nucleus, the lentiform nucleus (the distinction between the putamen and the pallidum was not made until the beginning of the twentieth century), the subthalamic nucleus and the substantia nigra (Fig. 3). The largest of these structures is the corpus striatum. The corpus striatum (neostriatum), which is especially well developed in man, comprises the caudate nucleus and the putamen. The caudate nucleus assumes the shape of a comet curving along the lateral wall of the lateral ventricle. It consists in a large head at the front, a narrow dorsal body and a thin tail which follows a course passing ventrally along the temporal horn of the ventricle and ending at the amygdaloid body. The inferior part of the head is connected with the putamen at the ventral part, at the level of the nucleus accumbens. The demarcation of the caudate nucleus at the level of the body and tail is easy, as is surrounded medially by the lateral ventricle and laterally by the internal capsule. The head of the caudate nucleus and the putamen are connected by thin bridges of grey matter (pontes grisei caudatolenticularis). The putamen is a shell-shaped structure situated medial to the cortex of the insula and surrounded laterally by the external capsule, medially by the lateral medullary lamina of the globus pallidus, and superiorly by the white matter of the corona radiata. In T1-weighted MR images putamen is demarcated by the white matter and the globus pallidus, which are less signal intense. The globus pallidus (paleostriatum) has two parts, medial and lateral. Both lie medial to the putamen, and together with it, constitute the lentiform nucleus. The lateral medullary lamina separates the lateral globus pallidus from the putamen, and the medial medullary lamina separates the medial from the lateral globus pallidus. Medially it is limited by the posterior limb of the internal capsule, while inferiorly it lies close to the substantia innominata and the anterior commissure in the rostral part. The subthalamic nucleus is a small lentiform



**Fig. 3** Anatomical localisation of thalamus and basal ganglia, viewed from the left. Thalamus and basal ganglia are located close together. Lesions do not usually involve one nucleus only, but affect multiple structures in basal ganglia-thalamocortical circuits. Note the massa intermedia connecting right and left thalamus (*discontinuous trace*)

nucleus located at the border between the midbrain and the diencephalon. It is bordered medially by the posterior limb of the internal capsule, dorsally by the lenticular fasciculus and ventrally by the zona incerta. As the subthalamic nucleus is surrounded by white fibres, it is easily demarcated in T1- and T2-weighted MR images. The substantia nigra lies in the ventral tegmentum of the midbrain. The pars compacta is the largest part; it is dorsal and caudal to the pars reticulata. In post-mortem tissue the pars compacta is defined by the black staining by neuromelanin (included in dopaminergic neurons).

The anatomical and functional organisation of the basal ganglia help us to understand how motor and cognitive functions operate in normal and in diseased brain. The basal ganglia make up a major centre in the complex extrapyramidal motor system, as opposed to the pyramidal motor system (corticobulbar and corticospinal pathways). The basal ganglia have been considered a motor centre

since the end of the nineteenth century: “the corpus striatum contained the centres of automatic or sub-voluntary integration of the various motor centres where habitual or automatic movements become organised” [52]. Its motor actions are mediated through the pyramidal system [187], as the basal ganglia do not make direct output connections to the spinal cord [152] even if parallel projections from the substantia nigra pars reticulata to the tectum and the reticular formation can descend to the spinal cord (through the tectospinal and reticulospinal pathways). The basal ganglia participate in many neuronal pathways, and their functions are not restricted to the motor behaviour: they also have emotional, motivational, associative and cognitive functions [6, 19, 93, 130, 167, 171, 172]. Moreover, the basal ganglia have a role in error correction mechanisms [102], in which striatum is a central selection device [165]. New concepts have been established by basic research in human and in nonhuman primates [29, 166] and by a practical *ex vivo* method of learning functional thalamic and basal ganglia anatomy [195].

It was not until the 1960s that the importance of the striato-pallido-nigral network (the “Nauta-Mehler loop”) was recognised [132]. The main transmission circuit of the basal ganglia originates in the whole of the neocortex [66, 174] (Fig. 4, left). The caudate and putamen receive



by D1-dopamine receptors, substance-P and dynorphin-containing neurons. The indirect pathway is provided by D2-dopamine receptors and enkephalin-containing neurons. Output neurons of the external globus pallidus are GABAergic and exert an inhibitory effect on the subthalamic glutamatergic neurons, which in turn send excitatory projections to both output nuclei of the basal ganglia (namely the internal globus pallidus and the substantia nigra pars reticulata, whose neurons are GABAergic as are those of the external globus pallidus). Both pathways then provide antagonistic effects to the output of the basal ganglia: the direct pathway sends an inhibitory input to both nuclei, whereas the indirect pathway results in excitatory input (Fig. 4, left). The dual projection from the output nuclei of the basal ganglia to the different nuclei of the thalamus (regio lateralis, regio centralis and regio dorsalis; see above) is organised in parallel and somatotopically [5, 55] while, again, thalamic neurons send convergent inputs to the same cortical areas but different laminae [77]. The function of basal ganglia is modulated by both striatal and extrastriatal dopaminergic innervation [30, 177]. However, dopamine in striatal spiny neurons gives rise to synapses at dendritic spines that are also modulated by excitatory inputs from the cortex [178]. In these circumstances, striatal spiny neurons are trained by a dopamine-mediated reinforcement signal to recognise and register salient contexts and/or states that are likely to be useful in guiding behaviour [79].

The pathophysiological changes that occur in disorders of the basal ganglia have been clarified in recent years by experimental approaches using the MPTP (1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine) model of parkinsonism in non-human primates. MPTP is a neurotoxin that induces extensive dopaminergic degeneration resulting in a parkinsonian syndrome [70, 90]. The basal ganglia are organised in such a fashion that nigrostriatal denervation (the hallmark of Parkinson's disease) leads to overactivity of the internal globus pallidus and the substantia nigra pars reticulata (Fig. 4, right) [74, 190]. The pathological hyperactivity of both these nuclei and the subthalamic nucleus [191] has been seen as the result of a reduced inhibitory input from the striatum to the direct pathway [109] and increased activity of the indirect pathway [71]. However, the activity and function of other nuclei, such as the external globus pallidus, needs to be better understood [73], and clinical symptoms of Parkinson's disease or other pathologies, even the symptoms observed by focal pathology, are not fully explained by the current models of basal ganglia circuitry [110, 114].

The study of 240 cases with lesions in the basal ganglia [12] has indicated that the commonest disturbances in basal ganglia lesions are the syndrome of abulia (apathy with loss of initiative and of spontaneous thought and emotional responses) and dystonia, which manifest

as behavioural and motor disturbances, respectively. The symptoms differ depending on the location of the lesion: when lesions affect the caudate nucleus even unilateral lesion can cause abulia, or more rarely disinhibited behaviour; and occasionally they cause motor disorders (never cause parkinsonism but sometimes chorea or dystonia); lesions of the lentiform nucleus commonly cause dystonia and rarely cause chorea; lesions involving the putamen are more prone to cause dystonia than those involving the globus pallidus; infrequently they cause abulia or disinhibition, and in this case, the globus pallidus is usually involved; however, bilateral lesions involving the globus pallidus do not often cause parkinsonism but it is common for them to cause behavioural disturbances.

### Motor symptomatology

Where necrosis of the caudate nucleus and putamen has been present for a long period there is retrograde degeneration of the cortico-striate fibres both in the subcallosal fasciculus and in the external capsule [42]. As the pyramidal motor system, the basal ganglia-thalamocortical circuits maintain somatotopic organisation of movement-related neurons throughout the circuit [5, 99], mainly through the putamen, where neurons related to active and/or passive movements of the lower extremity are found in a long rostrocaudal extension of the dorso-lateral putamen, neurons related to orofacial movements are located ventromedially, and neurons related to movements of the upper extremity are located in an intermediate position. This somatotopic distribution has the same pattern in both segments of the globus pallidus [145]. Interruption of the extrapyramidal system appears to be responsible for muscle spasticity and hyperactive deep reflexes. Involvement of the basal ganglia (including ventrobasal nuclei of the thalamus) is linked with involuntary and stereotyped movements without involving voluntary motor functions. Diseases of the basal ganglia (extrapyramidal pathology) result in profound movement disorders, which cause changes in motor functions:

1. Spontaneous hyperkinetic disorders such as are seen in Huntington's disease [61, 84] or Tourette's syndrome
2. Diminished movement (akinesia or hypokinesia) such as is seen in Parkinson's disease [189] and progressive supranuclear palsy [112]
3. Motor stereotypies [22]

Moreover, lesions of the basal ganglia result in changes in muscle tone (muscular rigidity), fine resting tremor, postural disorders and athetosis (vermicular movements of the distal extremities). Other involuntary movement

disorders include such symptoms as hyperactivity, dyskinesia or hemiballismus, depending of the position of the lesion in the basal ganglia. A progressive generalised chorea and dementia with neostriatal neuronal loss and gliosis (vascular chorea) has been described [13]. The variable tremor and rigidity of Wilson's disease is associated with degeneration of the lenticular nucleus (putamen and globus pallidus) resulting from a disorder of copper metabolism [59]. Other degenerative changes in the basal ganglia (caudate nucleus and putamen) are associated with complex stereotypies [119], dystonia after head trauma [41, 43, 105], involuntary movements of choreiform type (jerky, rapid and purposeless movements involving axial and proximal musculature of the extremities), athetoid-type movements (slow, sinuous and aimless movements involving distal limb musculature) [43] or ballism [45]. Indeed, the best pathological correlation is that of hemiballismus: uncontrollable sudden, flailing gross movements of the proximal limb musculature of one or both limbs on the contralateral side of the lesion [91]. It is associated with lesion of the contralateral subthalamic nucleus of Luys [121] or its connections, but there are even reports of hemiballismus without subthalamic lesion but with a lenticular lacunar infarct, probably with internal globus pallidus involvement [122, 123]. Subthalamic nucleus (and internal globus pallidus) are important pacemakers of the basal ganglia [156]. The cardinal motor signs found in Parkinson's disease (akinesia, rigidity and resting tremor) are generally attributed to the loss of dopaminergic input to the striatum that results from the degeneration of the substantia nigra pars compacta [50]. Chronic treatment with levodopa and/or dopaminergic agonists results in unacceptable side effects, such as dyskinesia or hyperkinesia [189]. This reversal of symptoms is caused by the imbalance of the direct and indirect basal ganglia pathways required for coordinated movements [72, 96]. In recent years, new therapeutic approaches have included:

1. Pharmacological strategies with different dopamine-receptor agonists [114] and new surgical approaches such as
2. Transplantation of dopaminergic neurons [56]
3. Lesions or deep brain stimulation of the subthalamic nucleus or of the internal globus pallidus [65, 97]

### Behavioural disturbances

Basal ganglia and the adjacent structures play a part in predicting future events, reinforcing wanted behaviour and suppressing unwanted behaviour [172] and are involved in shifting attentional sets and in high-order processes of movement initiation [17] as well as in spatial working memory [158]. As functions of the basal gan-

glia include cognitive and emotional aspects, clinical symptomatology is not restricted to the motor system; some psychological, disorders of mood and thought disturbances are known, including depression, schizophrenia and obsessive compulsive disorder [2, 61, 155, 173]. Basal ganglia–thalamocortical circuits reveal functional subdivisions of the oculomotor, prefrontal and cingulate circuits [5, 19, 58, 66], which have an important role in attention, learning and potentiation of behaviour-guiding rules [171, 197, 198], making them comparable to the somatotopic channels within the motor circuit, which are involved in the programming and control of movement. In the Bhatia and Marsden study [12] of a total of 240 patients with basal ganglia lesions, 111 had some type of behavioural disorder with aphasic and dysarthric dysfunction, abulia, depression, disinhibited behaviour and acute confusional state after haemorrhage into the caudate (even if this last is probably the consequence of a widespread brain dysfunction due to intraventricular bleeding). Aphasia has been described without haemorrhagic lesions when lesions are located in the caudate nucleus [8, 35, 100, 101]. Frontal lobe syndrome [183], psychic akinesia [100] and obsessive compulsive disturbances [101] have been described when bilateral lesions are established. The caudate nucleus contributes to memory [197], learning [93, 130], cognitive [165, 171] and behaviour [172] functions; its bilateral damage leads to apathy, decreased recent memory and reduced initiative and spontaneity [128].

Despite the voluminous literature available on it, the role of basal ganglia in health and disease remains controversial. Moreover, as basal ganglia are closely located to the thalamus and they have intimate and highly specific afferent and efferent connections with cerebral cortex and thalamus, basal ganglia should not be viewed as nuclei with a role independent of both structures [130, 153].

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